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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/592,910	05/14/2007	James H. Silver	CRD5075USPCT	6008
27777	7590	05/27/2010		
PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003			EXAMINER HOLLIM, JONATHAN A	
			ART UNIT 3734	PAPER NUMBER
			NOTIFICATION DATE 05/27/2010	DELIVERY MODE ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary

Application No.

10/592,910

Applicant(s)

SILVER, JAMES H.

Examiner

JONATHAN A. HOLLM

Art Unit

3734

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 May 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 September 2006 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/GS/US)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____
- Paper No(s)/Mail Date _____

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 6 May 2010 has been entered.
2. Response to applicant's arguments can be found at the end of this office action.

Drawings

3. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: reference character "30" (see figures 4 and 5).
4. Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be

notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. **Claims 1-6** are rejected under 35 U.S.C. 103(a) as being unpatentable over Forber (US Patent Application Publication Number 2003/0023265) in view of Boyle et al (US Patent Application Publication Number 2003/0120303) and Russell (US Patent Application Publication Number 2003/0130680).

Forber discloses a medical filter for therapeutic treatment of a patient substantially as claimed, including more than one pair of ribs extending between first and second ends wherein in an expanded, deployed shape, the ribs follow an undulating path such that a first portion (L3) of each pair of ribs extends substantially adjacent to each other for a distance from the first end, and a second portion of each pair of ribs extends substantially adjacent to each other for a distance from the second end (in that the ribs converge to become adjacent at the second end), and an intermediate portion (L1) of each one of a pair of ribs tends to curve away from each other (see at least figure 2), except for the medical filter being formed from a single thin walled tube. Boyle et al teach providing a single thin walled tubular member, such as a nickel-titanium

hypotube, defining a longitudinal axis and having a first and second end, laser cutting more than one pair of struts in the tubular form so as to create a desired pattern defining the struts extending between the first and second end of the filter, and treating the struts so that they tend to resiliently expand to from a compressed shape to an expanded shape (paragraphs 0051-56). It would have been obvious to a person having ordinary skill in the art at the time of the invention to form the device of Filter by laser cutting a single thin walled tubular member to create the desired strut pattern, since Boyle et al teach such a method as being a well known technique in the art for forming a desired strut pattern in self-expandable filters.

The modified device of Forber in view of Boyle et al is not explicitly disclosed with filter being configured for long term implantation via complete separation from a delivery catheter such that the catheter may be removed and the filter including means for capture and removal via a catheter after a predetermined period of time. Russell teaches configuring a filter (10) having first and second ends with ribs extending therebetween to be completely separated from a delivery catheter (70) and providing the filter with means for capture and removal after a predetermined period of time (see figures 8A-9D). It would have been obvious to a person having ordinary skill in the art at the time of the invention to modify the device Forber in view of Boyle et al to be configured for complete separation from a delivery catheter and provided with means for capture and removal, in view of Russell, since Russell teaches that such a configuration minimizes possible migration of the filter during a procedure, which could result in damage to a blood vessel, and facilitates the completion of a clinical procedure using a

filter (paragraph 0026). As the modified device of Forber in view of Boyle et al and Russell is configured for complete separation from a delivery catheter, it is capable of long-term implantation.

Regarding **claim 2**, Forber discloses the intermediate portion (L1) of each one of a pair of ribs tends to curve away from each other and touch one of another pair of ribs (see at least figures 1, 2, and 12).

Regarding **claim 3**, Forber discloses in the expanded shape, a central portion of each rib tends to extend parallel to the longitudinal axis of the filter (see at least figures 1, 2, and 12).

Regarding **claim 4**, Forber discloses the filter has at least three pairs of ribs (see at least figures 1, 2, and 12).

Regarding **claim 5**, the modified device of Forber, Boyle et al, and Russell, is not explicitly disclosed with six pairs of ribs. It would have been an obvious matter of design choice to include additional pairs of ribs, since applicant has not disclosed that the number of rib pairs solves any stated problem or is for any particular purpose and it appears that the invention would perform equally well with three pairs of ribs.

Regarding **claim 6**, Boyle et al discloses the filter being made of Nitinol (paragraph 56).

Response to Arguments

7. Applicant's arguments with respect to **claims 1-6** have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JONATHAN A. HOLLM whose telephone number is (571) 270-7529. The examiner can normally be reached on Monday - Friday 8:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Todd Manahan can be reached on (571) 272-4713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAH/

/TODD E. MANAHAN/
Supervisory Patent Examiner, Art Unit 3734